IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

REQUEST FORM FOR FILING CONTINUING APPLICATION
UNDER 37 C.F.R. §1.53(b)

Attorney Docket Number **066603.0122**
Anticipated Classification Of This Application:
Class _____ Subclass _____

Prior Application: 08/772,222
Examiner: T. Coddington
Art Unit: 2767

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

Sir:

This is a request for filing a continuating application under 37 C.F.R. § 1.53(b) of prior Application No. **08/772,222**, filed on **December 20, 1996**, entitled **Z-TRANSFORM IMPLEMENTATION OF DIGITAL WATERMARKS** by the following named inventors, **Scott A. MOSKOWITZ and Marc S. COOPERMAN.**

1. [X] Enclosed is a true copy of the prior complete application as originally filed, including the oath or declaration. No amendments referred to in the oath or declaration filed to complete the prior application introduced new matter therein.

2. [X] A Preliminary Amendment is enclosed.

3. [X] Cancel in this application original claims **2-20** of the prior application before calculating the filing fee. At least one original independent claim is retained.

4. [X] The filing fee is calculated on the basis of the claims existing in the prior application as mentioned at 1, 2 and 3 above.

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	27	7	7 x $ 18.00=	$ 126.00
INDEPENDENT CLAIMS	7	4	4 x $ 78.00=	$ 312.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			x $=	$ 0.00
			BASIC FEE	+ $ 760.00
			TOTAL OF ABOVE CALCULATIONS=	$ 1,198.00
REDUCTION BY ½ FOR FILING BY SMALL ENTITY (Note 37 C.F.R. 1.9, 1.27, 1.28). IF APPLICABLE, VERIFIED STATEMENT MUST BE ATTACHED.				- $ 599.00
			TOTAL=	$ 599.00

5. [ ] The Commissioner is hereby authorized to charge fees and/or any deficiences in fees under 37 C.F.R. §1.16 and §1.17 which may be required, or credit any overpayment to Deposit Account No. 02-0375.

6. [ ] A check in the amount of $ is enclosed. In the event any variance exists between the amount enclosed and the Patent Office charges, please credit or charge any difference to Deposit Account No. 02-0375.

Rule 1.53(b) Continuation of USSN 08/772,222
Inventors: Scott A. Moskowitz et al.
Attorney Docket No. 066603.0122

7. [X] Amend the specification by inserting before the first line the sentence:

--This application is a **continuation** of Application Serial No. **08/772,222**, filed **December 20, 1996**, entitled **Z-TRANSFORM IMPLEMENTATION OF DIGITAL WATERMARKS**. --

8. [X] A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 and 1.27
   [ ] is enclosed.
   [X] was filed in prior application Serial No. **08/772,222** and such status is still proper and desired (37 C.F.R. § 1.28(a)).

9. [ ] Priority of_____ is claimed under 35 U.S.C. §120.

   [ ] Acknowledgement was made of the claim for foreign priority and receipt of all certified copies of priority documents.

10. [ ] New formal drawings are enclosed.

11. [ ] The prior application is assigned of record to _____.
    Recordation Date:_____, at Reel _____, Frame _____.

12. [ ] The Power of Attorney is as follows:

    a. [ ] The power of attorney is to Jerry W. Mills, Reg. No. 23,005; Scott F. Partridge, Reg. No. 28,142; Rodger L. Tate, Reg. No. 27,399; James Remenick, Reg. No. 36,902; Laurence H. Posorske, Reg. No. 34,698; James B. Arpin, Reg. No. 33,470; Floyd B. Chapman, Reg. No. 40,555; Steven P. Klocinski, Reg. No. 39,521; and Eric Sinn, Reg. No. 40,177.

    b. [ ] Please remove as power of attorney: Steven P. Klocinski, Reg. No. 39,521; and Eric Sinn, Reg. No. 40,177

    c. [ ] Please add as associate power of attorney: Robert Neuner, Reg. No. 24,316; Robert A. King, Reg. No. 42,738; William F. Nixon, Reg. No. 44,262; Andrew D. Skale, Reg. No. 44,338; Robert L. Troike, Reg. No. 24,183; Jay M. Cantor, Reg. No. 19,906; Lori D. Stiffler, Reg. No. 36,939; and Jay B. Johnson, Reg. No. 38,193.

13. [ ] Also enclosed: INFORMATION DISCLOSURE STATEMENT. Attached are Forms PTO-1449 and PTO-892 listing all of the documents cited by Applicants and the PTO in the parent application(s) relied upon under 35 U.S.C. 120 and referenced in item 7 above. Per Rule 98(d) copies of those documents are not required now. Please consider these documents and advise that they have been considered in this new application by returning a copy of the enclosed Form PTO-1449 with the Examiner's initials in the left column per M.P.E.P. 609.

Rule 1.53(b) Continuation of USSN 08/772,222
Inventors: Scott A. Moskowitz et al.
Attorney Docket No. 066603.0122

14. **[X]** Address all future communications to:

Floyd B. Chapman, Esq.
Baker & Botts, L.L.P.
The Warner; Suite 1300
1299 Pennsylvania Avenue, N.W.
Washington, D.C. 20004-2400

    The undersigned further declares that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the applications or any patent issuing thereon.

Dated: **December 8, 1999**

By: _____
Floyd B. Chapman
Registration No. 40,555

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DC01 243594 1

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VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) AND 1.27 (c)) - SMALL BUSINESS CONCERN	Docket No. 066603.0122

| Serial No.

To be Assigned | Filing Date

December 8, 1999 | Patent No. | Issue Date |
|---|---|---|---|

Applicant/ **Scott A. MOSKOWITZ et al.**
Patentee:

Invention: **Z-TRANSFORM IMPEMENTATION OF DIGITAL WATERMARKS**

I hereby declare that I am:

☒ the owner of the small business concern identified below:

☐ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: **Wistaria Trading, Inc.**
ADDRESS OF CONCERN: **16711 Collins Avenue, #2505, Miami, FL 33160**

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the above identified invention described in:

☐ the specification filed herewith with title as listed above.

☐ the application identified above.

☐ the patent identified above.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed on the next page and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☐ no such person, concern or organization exists.
- ☒ each such person, concern or organization is listed below.

FULL NAME **Wistaria Trading , Inc.**
ADDRESS **16711 Collins Avenue, #2505, Miami, FL 33160**

	☐ Individual	☒ Small Business Concern	☐ Nonprofit Organization

FULL NAME _____
ADDRESS _____

	☐ Individual	☐ Small Business Concern	☐ Nonprofit Organization

FULL NAME _____
ADDRESS _____

	☐ Individual	☐ Small Business Concern	☐ Nonprofit Organization

FULL NAME _____
ADDRESS _____

	☐ Individual	☐ Small Business Concern	☐ Nonprofit Organization

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: **Scott A. Moskowitz, President**

TITLE OF PERSON SIGNING
OTHER THAN OWNER: **16711 Collins Avenue, #2505, Miami, FL 33160**

ADDRESS OF PERSON SIGNING:

SIGNATURE: _____   DATE: _____

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) AND 1.27 (b)) - INDEPENDENT INVENTOR	Docket No. 066603.0122

Serial No. To be Assigned	Filing Date December 8, 1999	Patent No.	Issue Date

Applicant/ Patentee: Scott A. MOSKOWITZ et al.

Invention:

Z-TRANSFORM IMPLEMENTATION OF DIGITAL WATERMARK

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 3, for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled above and described in:

- ☐ the specification to be filed herewith.
- ☐ the application identified above.
- ☐ the patent identified above.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☐ No such person, concern or organization exists.
- ☒ Each such person, concern or organization is listed below.

    *NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR 1.27)

FULL NAME **Wistaria Trading, Inc.**
ADDRESS **16711 Collins Avenue, #2505, Miami, FL 33160**

☐ Individual ☒ Small Business Concern ☐ Nonprofit Organization

FULL NAME
ADDRESS

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

FULL NAME
ADDRESS

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

FULL NAME
ADDRESS

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF INVENTOR   Scott A. Moskowitz

SIGNATURE OF INVENTOR _____   DATE: _____

NAME OF INVENTOR   Marc S. Cooperman

SIGNATURE OF INVENTOR _____   DATE: _____

NAME OF INVENTOR _____

SIGNATURE OF INVENTOR _____   DATE: _____

NAME OF INVENTOR _____

SIGNATURE OF INVENTOR _____   DATE: _____

NAME OF INVENTOR _____

SIGNATURE OF INVENTOR _____   DATE: _____

NAME OF INVENTOR _____

SIGNATURE OF INVENTOR _____   DATE: _____

NAME OF INVENTOR _____

SIGNATURE OF INVENTOR _____   DATE: _____

NAME OF INVENTOR _____

SIGNATURE OF INVENTOR _____   DATE: _____

NAME OF INVENTOR _____

SIGNATURE OF INVENTOR _____   DATE: _____

NAME OF INVENTOR _____

SIGNATURE OF INVENTOR _____   DATE: _____

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
)
  Scott A. MOSKOWITZ et al.   )
) Examiner: To be assigned
Application Number: To be assigned)
) Group Art Unit: To be assigned
Filed: December 8, 1999   )
)
Title: Z-TRANSFORM IMPLEMENTATION)
   DEVICE   )

PRELIMINARY AMENDMENT

Assistant Commissioner of Patents
U.S. Patent and Trademark Office
Washington, D.C. 20231

Sir:

  Prior to examination of the continuation application filed under Rule 1.53(b) of U.S. application number 08/772,222, filed December 8, 1999, please enter the following preliminary amendment.

IN THE SPECIFICATION

  Please amend the specification by inserting before the first line the sentence:

  -- This application is a continuation of application number 08/772,222, filed December 20, 1996, entitled Z-TRANSFORM IMPLEMENTATION OF DIGITAL WATERMARKS. --

IN THE CLAIMS

  Please cancel claims 2-20 without prejudice or disclaimer and add new claims 21-46 as follows:

  -- 21. A method for encoding carrier signal independent data to a content signal, comprising:

   receiving a content signal;

using z-transforms to identify non-deterministic components of said content signal; and

encoding carrier signal independent data into said identified non-deterministic components of said content signal to create a digital sample stream.

22.   The method according to claim 21, wherein said carrier signal independent data comprises digital watermark data.

23.   The method according to claim 21, wherein at least one location within the content signal at which the carrier signal independent data is to be encoded is selected based upon the position of a watermarking party in a distribution chain and based upon the length of said carrier signal independent data.

24.   The method according to claim 21, wherein said step of encoding uses an inverted filter to assist in the encoding carrier signal independent data into said identified non-deterministic components of said content signal.

25.   The method according to claim 21 further comprising:

preserving information regarding the non-deterministic components of said content signal.

26.   The method according to claim 25, wherein said preserving step comprises saving information regarding the non-deterministic components of said content signal to a digital storage medium.

27.   The method according to claim 25, wherein said preserving step comprises summarizing said identified non-deterministic components using stochastical methods.

28.   A method of detecting digital watermark data that has been placed within a digital sample stream, comprising:

receiving a digital sample stream in which digital watermark data has been placed, said digital watermark comprising carrier signal independent data;

using z-transform calculations to identify non-deterministic components of said digital sample stream; and

decoding said carrier signal independent data from the identified non-deterministic components of said digital sample stream.

29.   The method according to claim 28, wherein the step of decoding comprises decoding carrier signal independent data using information regarding one or more locations of said identified non-deterministic components.

30.   A method of analyzing deterministic and non-deterministic components of a signal, comprising

a)   receiving a content signal comprising a digital sample stream;

b)   using linear predictive coding calculations to identify deterministic and non-deterministic components of said content signal, said non-deterministic signal components being characterized by at least one of the following group:

i) a discrete series of digital samples, and

ii) a discrete series of carrier frequency sub-bands of the content signal; and

c)   encoding carrier signal independent data in the non-deterministic signal components of the content signal.

31.   The method according to claim 30, wherein said carrier signal independent data comprises digital watermark data.

32.   The method according to claim 30, wherein the step of encoding carrier signal independent data comprises:

encoding carrier signal independent data such that it is concentrated primarily in the non-deterministic signal components of the content signal and such that said carrier signal independent data is located within the non-deterministic signal components using information about the position of a watermarking party in a distribution chain.

33.   A method of using z-transform calculations to measure a desirability of particular locations in a sample stream in which to encode carrier signal independent data, comprising:

receiving a sample stream; and

using z-transforms to identify locations in said sample stream which would be desirable for encoding carrier signal independent data, wherein said locations are identified using at least one of the following characteristics of said sample stream: wave, amplitude, frequency, band energy, and phase energy.

34.   The method according to claim 33, further comprising:

encoding said carrier signal independent data into said identified locations of said sample stream to produce an embedded sample stream.

35.   The method of claim 34, further comprising compressing the carrier signal independent data before the encoding step.

36.   The method of claim 34, further comprising compressing the sample stream before using z-transforms to identify locations.

37.   The method of claim 34, further comprising compressing the embedded sample stream.

38.   The method of claim 34, further comprising compressing the carrier signal independent data before the encoding step and compressing the embedded sample stream.

39.   A method of detecting at least one of a plurality of digital watermarks that have been placed within a digital sample stream, comprising:

receiving a digital sample stream in which a plurality of digital watermarks have been placed;

using a first set of z-transform calculations to identify non-deterministic components of said digital sample stream; and

decoding at least one first digital watermark from the identified non-deterministic components of said digital sample stream.

40.   The method according to claim 39 wherein the step of decoding comprises decoding at least one first digital watermark using information regarding one or more locations of said identified non-deterministic components.

41.   The method according to claim 39, further comprising:

removing said at least one first digital watermark from the digital sample stream, resulting in a modified digital sample stream;

using a second set of z-transform calculations to identify non-deterministic components of said modified digital sample stream; and

decoding at least one second digital watermark from the identified non-deterministic components of said modified digital sample stream.

42.   The method according to claim 41, wherein said first set of z-transform calculations contain the same algorithms as the second set of z-transform calculations.

43.   A method of detecting at least one of a plurality of digital watermarks that have been placed within a digital sample stream, comprising:

receiving a digital sample stream in which a plurality of digital watermarks have been placed;

using a first set of linear predictive coding calculations to identify non-deterministic components of said digital sample stream; and

decoding at least one first digital watermark from the identified non-deterministic components of said digital sample stream.

44.   The method according to claim 43, wherein the step of decoding comprises decoding at least one first digital watermark using information regarding one or more locations of said identified non-deterministic components.

45.   The method according to claim 43, further comprising:

removing said at least one first digital watermark from the digital sample stream, resulting in a modified digital sample stream;

using a second set of linear predictive coding calculations to identify non-deterministic components of said modified digital sample stream; and

decoding at least one second digital watermark from the identified non-deterministic components of said modified digital sample stream.

46.   The method according to claim 45, wherein said first set of linear predictive coding calculations contain the same algorithms as the second set of linear predictive coding calculations. --

REMARKS

Applicants respectfully request that these amendments be entered in the subject application prior to examination.

Respectfully submitted,

December 8, 1999

By: *Floyd B Chapman*

Floyd B. Chapman
Registration No. 40,555

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Z-TRANSFORM IMPLEMENTATION OF DIGITAL WATERMARKS

RELATED APPLICATIONS

This application relates to U.S. Patent Application Serial No. 08/489,172 filed on June 7, 1995, U.S. Patent
5   Application Serial No. 08/587,944 filed on January 17, 1996, U.S. Patent Application Serial No. 08/587,943 filed on January 16, 1996, and U.S. Patent Application Serial No. 08/677,435 filed on July 2, 1996. Each of these related applications is incorporated herein by
10   reference in their entirety.

BACKGROUND OF THE INVENTION

Digital distribution of multimedia content (audio, video, etc.) and the impending convergence of industries
15   that seek to make this goal a reality (computer, telecommunications, media, electric power, etc.) collide with the simplicity of making perfect digital copies. There exists a vacuum in which content creators resist shifts to full digital distribution systems for their
20   digitized works, due to the lack of a means to protect the copyrights of these works. In order to make such copyright protection possible, there must exist a

mechanism to differentiate between a master and any of
its derivative copies. The advent of digital watermarks
makes such differentiation possible. With
differentiation, assigning responsibility for copies as
5 they are distributed can assist in the support and
protection of underlying copyrights and other
"neighboring rights," as well as, the implementation of
secure metering, marketing, and other as yet still
undecided applications. Schemes that promote
10 encryption, cryptographic containers, closed systems,
and the like attempt to shift control of copyrights from
their owners to third parties, requiring escrow of
masters and payment for analysis of suspect, pirated
copies. A frame-based, master-independent, multi-
15 channel watermark system is disclosed in U.S. Patent
Application Serial No. 08/489,172 filed on June 7, 1995
and entitled "STEGANOGRAPHIC METHOD AND DEVICE", U.S.
Patent Application Serial No. 08/587,944 filed on
January 17, 1996 and entitled "METHOD FOR HUMAN-ASSISTED
20 RANDOM KEY GENERATION AND APPLICATION FOR DIGITAL
WATERMARK SYSTEM", and U.S. Patent Application 08/587,943
filed on January 16. 1996 and entitled "METHOD FOR STEGA-
CIPHER PROTECTION OF COMPUTER CODE". These applications
describe methods by which copyright holders can
25 watermark and maintain control over their own content.
Any suspect copies carry all necessary copyright or
other "rights" information within the digitized signal
and possession of an authorized "key" and the software

(or even hardware) described in these applications would make determination of ownership or other important issues a simple operation for the rights holder or enforcer.

5   Optimizing watermark insertion into a given signal is further described in the U.S. Patent Application Serial No. 08/677,435 filed on July 2, 1996 and entitled "OPTIMIZATION METHODS FOR THE INSERTION, PROJECTION AND DETECTION OF DIGITAL WATERMARKS IN DIGITIZED DATA". This

10   application discloses accounting for the wide range of digitally-sampled signals including audio, video, and derivations thereof that may constitute a "multimedia" signal. The optimization techniques described in that application take into account the two components of all

15   digitization systems: error coding and digital filters. The premise is to provide a better framework or definition of the actual "aesthetic" that comprises the signal being reproduced, whether through commercial standards of output (NTSC, CD-quality audio, etc.) or

20   lossless and lossy compression (MPEG-2, Perceptual Audio Coding, AC-3, Linear Adaptive Coding, and the like), so that a watermark may be targeted at precisely the part of the signal comprising such an "aesthetic" in order that it be as robust as possible (i.e., difficult to

25   remove without damaging the perceptual quality of the signal). However the content is stored, the signal still carries the digital watermark. Additionally, transmission media may be characterized as a set of

"filters" that may be pre-analyzed to determine the best "areas" of the signal in which watermarks "should" be encoded, to preserve watermarks in derivative copies and ensure maximum destruction of the main, carrier signal

5   when attempts are made to erase or alter the watermarked content.

Optimal planning of digital watermark insertion can be based on the inversion of digital filters to establish or map areas comprising a given content

10   signal's "insertion envelope." That is, the results of the filter operation are considered in order to "back out" a solution. In the context of this discussion, the phrase "inverting" a filter may mean, alternatively, mathematical inversion, or the normal

15   computation of the filter to observe what its effect would be, were that filter applied at a later time. Planning operations will vary for given digitized content: audio, video, multimedia, etc. Planning will also vary depending on where a given "watermarker" is

20   in the distribution chain and what particular information needs that user has in encoding a given set of information fields into the underlying content. The disclosures described take into account discrete-time signal processing which can be accomplished with

25   Fast Fourier Transforms that are well-known in the art of digital signal processing. Signal characteristics are also deemed important: a specific method for analysis of such characteristics and subsequent

digital watermarking is disclosed in further detail in
this application. The antecedents of the present
invention cover time and frequency domain processing,
which can be used to examine signal characteristics

5   and make modifications to the signal. A third way
would be to process with z-transforms that can
establish signal characteristics in a very precise
manner over discrete instances of time. In
particular, z-transform calculations can be used to

10 separate the deterministic, or readily predictable,
components of a signal from the non-deterministic
(unpredictable or random) components. It should be
apparent to those skilled in the art that non-
deterministic is a subjective term whose

15 interpretation is implicitly affected by processing
power, memory, and time restrictions. With unlimited
DSP (digital signal processing) power, memory, and
time to process, we might theoretically predict every
component of a signal. However, practicality imposes

20 limitations. The results of the z-transform
calculations will yield an estimator of the signal in
the form of a deterministic approximation. The
difference between a signal reconstituted from the
deterministic estimator and the real signal can be

25 referred to as error, and the error in an estimator
can be further analyzed for statistical
characteristics. Those skilled in the art will be
aware that Linear Predictive Coding (LPC) techniques

make use of these properties. So the error can be

modeled, but is difficult to reproduce exactly from

compressed representations. In essence, this error

represents the randomness in a signal which is hard to

5   compress or reproduce, but in fact may contribute

significantly to the gestalt perception of the signal.

The more elements of error determined with z-

transforms, the better able a party is at determining

just what parts of a given carrier signal are

10   deterministic, and thus predictable, and what elements

are random.   The less predictable the watermark-

bearing portion of a signal is and the more it

contributes to the perception of the signal, as

previously disclosed, the more secure a digital

15   watermark can be made.   Z-transform analysis would

disclose just which phase components are deterministic

and which are random. This is because it is difficult

to compress or otherwise remove unpredictable signal

components. Error analysis further describes the

20   existence of error function components and would

reliably predict what signals or data may later be

removed by additional z-transform analysis or other

compression techniques. In effect, the error analysis

indicates how good an approximation can be made,

25   another way of stating how predictable a signal is,

and by implication, how much randomness it contains.

Z-transforms are thus a specialized means to optimize

watermark insertion and maximize the resulting

security of encoded data from attempts at tampering. The results of a Z-transform of input samples could be analyzed to see "exactly" how they approximate the signal, and how much room there is for encoding

5   watermarks in a manner that they will not be removed by compression techniques which preserve a high degree of reproduction quality.

Time is typically described as a single independent variable in signal processing operations

10 but in many cases operations can be generalized to multidimensional or multichannel signals. Analog signals are defined continuously over time, while digital signals are sampled at discrete time intervals to provide a relatively compact function, suitable for

15 storage on a CD, for instance, defined only at regularly demarcated intervals of time. The accrued variables over time provide a discrete-time signal that is an approximation of the actual non-discrete analog signal. This discreteness is the basis of a

20 digital signal. If time is unbounded and the signal comprises all possible values, a continuous-valued signal results. The method for converting a continuous-valued signal into a discrete time value is known as sampling. Sampling requires quantization and

25 quantization implies error. Quantization and sampling are thus an approximation process.

Discreteness is typically established in order to perform digital signal processing. The issue of deterministic versus random signals is based on the ability to mathematically predict output values of a

5 signal function at a specific time given a certain number of previous outputs of the function. These predictions are the basis of functions that can replicate a given signal for reproduction purposes. When such predictions are mathematically too

10 complicated or are not reasonably accurate, statistical techniques may be used to describe the probabalistic characteristics of the signal. In many real world applications, however, determinations of whether a signal, or part of a signal, is indeed

15 random or not is difficult at best. The watermark systems described in earlier disclosures mentioned above have a basis in analyzing signals so that analysis of discrete time frames can be made to insert information into the signal being watermarked. When

20 signal characteristics are measured, a key factor in securely encoding digital watermarks is the ability to encode data into a carrier signal in a way that mimics randomness or pseudo randomness so that unauthorized attempts at erasing the watermark necessarily require

25 damage to the content signal. Any randomness that exists as a part of the signal, however, should be estimated in order that a party seeking to optimally watermark the input signal can determine the best

location for watermark information and to make any
subsequent analysis to determine the location of said
watermarks more difficult. Again, typical
implementations of signal processing that use z-

5   transforms seek to describe what parts of the signal
are deterministic so that they may be described as a
compact, predictable function so that the signal maybe
faithfully reproduced. This is the basis for so-
called linear predictive coding techniques used for

10   compression. The present invention is concerned with
descriptions of the signal to better define just what
parts of the signal are random so that digital
watermarks may be inserted in a manner that would make
them more or less tamperproof without damage to the

15   carrier signal. Additional goals of the system are
dynamic analysis of a signal at discrete time
intervals so that watermarks may be dynamically
adjusted to the needs of users in such instances as
on-the-fly encoding of watermarks or distribution via

20   transmission media (telephone, cable, electric
powerlines, wireless, etc.)

Signal characteristics, if they can be reasonably
defined, are also important clues as to what portion
or portions of a given signal comprise the

25   "aesthetically valuable" output signal commonly known
as music or video. As such, perceptual coding or
linear predictive coding is a means to accurately
reproduce a signal, with significant compression, in a

manner that perfectly replicates the original signal

(lossless compression) or nearly replicates the signal

(lossy compression). One tool to make better

evaluations of the underlying signal includes the

5   class of linear time-invariant (LTI) systems. As

pointed out in Digital Signal Processing (Principles,

Algorithms, and Applications), 3rd Ed. (Proakis and

Manolakis), (also Practical DSP Modeling, Techniques,

and Programming in C by Don Morgan) the z-transform

10   makes possible analysis of a continuous-time signal in

the same manner as discrete-time signals because of

the relationship between "the convolution of two time

domain signals is equivalent to multiplication of

their corresponding z-transforms." It should be clear

15   that characterization and analysis of LTI systems is

useful in digital signal processing; meaning DSP can

use a z-transform and invert the z-transform to

deterministically summarize and recreate a signal's

time domain representation. Z-transforms can thus be

20   used as a mathematical way in which to describe a

signal's time domain representation where that signal

may not be readily processed by means of a Fourier

transform. A goal of the present invention is to use

such analysis so as to describe optimal locations for

25   watermarks in signals which typically have components

both of deterministic and non-deterministic

(predictable and unpredictable, respectively) nature.

Such insertion would inherently benefit a system

seeking to insert digital watermarks, that contain

sensitive information such as copyrights, distribution

agreements, marketing information, bandwidth rights,

more general "neighboring rights," and the like, in

5   locations in the signal which are not easily

accessible to unauthorized parties and which cannot be

removed without damaging the signal. Such a technique

for determining watermark location will help ensure

"pirates" must damage the content in attempts at

10   removal, the price paid without a legitimate "key."

Some discussion of proposed systems for

frequency-based encoding of "digital watermarks" is

necessary to differentiate the antecedents of the

present invention which processes signals frame-by-

15   frame and may insert information into frequencies

without requiring the resulting watermark to be

continuous throughout the entire clip of the signal.

U.S. Patent No. 5,319,735 to Preuss et al. discusses a

spread spectrum method that would allow for jamming

20   via overencoding of a "watermarked" frequency range

and is severely limited in the amount of data that can

be encoded-- 4.3 8-bit symbols per second.

Randomization attacks will not result in audible

artifacts in the carrier signal, or degradation of the

25   content as the information signal is subaudible due to

frequency masking. Decoding can be broken by a slight

change in the playback speed. It is important to note

the difference in application between spread spectrum

in military field use for protection of real-time

radio signals versus encoding information into static

audio files. In the protection of real-time

communications, spread spectrum has anti-jam features

5 since information is sent over several channels at

once, and in order to jam the signal, you have to jam

all channels, including your own. In a static audio

file, however, an attacker has all the time and

processing power in the world to randomize each sub-

10 channel in the signaling band with no penalty to

themselves, so the anti-jam features of spread

spectrum do not extend to this domain if the encoding

is sub-audible. Choosing where to encode in a super-

audible range of the frequency, as is possible with

15 the present invention's antecedents, can better be

accomplished by computing the z-transforms of the

underlying content signal, in order to ascertain the

suitability of particular locations in the signal for

watermark information.

20   Instead of putting a single subaudible, digital

signature in a sub-band as is further proposed by such

entities as NEC, IBM, Digimarc, and MIT Media Lab, the

antecedent inventions' improvement is its emphasis on

frame-based encoding that can result in the decoding

25 of watermarks from clips of the original full signal

(10 seconds, say, of a 3 minute song). With

signatures described in MIT's PixelTag or Digimarc/NEC

proposals, clipping of the "carrier signal" (presently

only based on results from tests on images, not video
or audio signals which have time domains), results in
clipping of the underlying watermark. Additionally,
the present invention improves on previous

5   implementations by providing an alternative
computational medium to time/amplitude or
frequency/energy domain (Fourier Transform)
calculations and providing an additional measure by
which to distinguish parts of a signal which are

10 better suited to preserve watermarks through various
DSP operations and force damage when attempts at
erasure of the watermarks are undertaken. Further,
the necessity of archiving or putting in escrow a
master copy for comparison with suspect derivative

15 copies would be unnecessary with the present invention
and its proposed antecedents. Further, statistical
techniques, not mathematical formulas, that are used
to determine a "match" of a clip of a carrier signal
to the original signal, both uneconomical and

20 unreasonable, would not be necessary to establish
ownership or other information about the suspect clip.
Even if such techniques or stochastic processes are
used, as in an audio spread-spectrum-based
watermarking system being proposed by Thorn-EMI's CRL,

25 called ICE, the further inability to decode a text
file or other similar file that has been encoded using
a watermark system as previously disclosed by above-
mentioned U.S. Patent applications including

"Steganographic Method and Device", "Method for Human-Assisted Random Key Generation and Application for Digital Watermark System", "Method for Stega-cipher Protection of Computer Code", and "Optimal Methods for

5   the Insertion, Protection and Detection of Digital Watermarks in Digitized Data", where all "watermark information" resides in the derivative copy of a carrier signal and its clips (if there has been clipping), would seem archaic and fail to suit the

10   needs of artists, content creators, broadcasters, distributors, and their agents. Indeed, reports are that decoding untampered watermarks with ICE in an audio file experience "statistical" error rates as high as 40%. This is a poor form of "authentication"

15   and fails to establish more clearly "rights" or ownership over a given derivative copy. Human listening tests would appear a better means of authentication versus such "probabalistic determination". This would be especially true if such

20   systems contain no provision to prevent purely random false-positive results, as is probable, with "spread spectrum" or similar "embedded signaling"- type "watermarks," or actually, with a better definition, frequency-based, digital signatures.

25

SUMMARY OF THE INVENTION

The present invention relates to a method of using z-transform calculations to encode (and/or

decode) independent data (e.g., digital watermark data) to a digital sample stream.

The present invention additionally relates to a method of analyzing deterministic and non-

5 deterministic components of a signal comprised of a digital sample stream. Carrier signal independent data is encoded in the digital sample stream and encoding of the carrier signal independent data is implemented in a manner such that it is restricted to

10 or concentrated primarily in the non-deterministic signal components of the carrier signal. The signal components can include a discrete series of digital samples and/or a discrete series of frequency sub-bands of the carrier signal.

15 The present invention additionally relates to a method of using z-transform calculations to measure a desirability of particular locations of a sample stream in which to encode carrier signal independent data. The desirability includes a difficulty in

20 predicting a component of the sample stream at a given location which can be measured by the error function. The component and location may be comprised of information regarding at least one of the following: wave, amplitude, frequency, band energy, and phase

25 energy. The present invention additionally relates to a method of encoding digital watermarks at varying locations in a sample stream with varying envelope parameters.

The present invention additionally relates to a method of using z-transform calculations to determine portions of a signal which may be successfully compressed or eliminated using certain processing

5   techniques, without adverse impact on signal quality.

The present invention additionally relates to a method of encoding a digital watermark into a digital sample stream such that the watermark information is carried entirely in the most non-deterministic

10   portions of the signal.

DETAILED DESCRIPTION

The Z-transform is a way of describing the characteristics of a signal. It is an alternative to

15   time/amplitude and frequency/energy domain measures which expresses an estimate of periodic components of a discrete signal. In a digital signal processing environment, a sampling theorem, known specifically as the Nyquist Theorem, proves that band limited signals

20   can be sampled, stored, processed, transmitted, reconstructed, desampled or processed as discrete values. For the theorem to hold, the sampling must be done at a frequency that is twice the frequency of the highest signal frequency one seeks to capture and

25   reproduce. The time and frequency domains are thus implicitly important in developing functions that can accurately replicate a signal. In a third domain, the z-transform enables analysis of the periodic nature of

discrete-time signals (and linear time-invariant

systems) much as the Laplace transform plays a role in

the analysis of continuous-time signals (and linear

time-invariant systems). The difference is that the

5   z-transform expresses results on the so-called z-

plane, an imaginary mathematical construct which may

be thought of as a Cartesian coordinate system with

one axis replaced by imaginary numbers (numbers

expressed in relation to the square root of -1). This

10   may allow manipulations of signals which are not

possible with Fourier Transform analyses (the

frequency/energy domain). At the least, the z-

transform is an alternative way to represent a signal.

The imaginary number axis serves as a representation

15   of the phase of the signal, where the phase oscillates

through an ordered, bounded set of values over a

potentially infinite series of discrete time values.

Phase is the framework for representing the periodic

nature of the signal. This third method of describing

20   a discrete-time signal has the property of equating

the convolution of two time-domain signals in the

result of the multiplication of those signals'

corresponding z-transforms. By inverting a z-

transform, the time-domain representation of the

25   signal may be approximately or wholly reconstructed.

To better define the z-transform, it is a power series of a discrete-time signal and is mathematically described hence:

$$X(z) = \sum_{n=\infty}^{\infty} x(n)z^{-n}$$

where,

5   x(n) is a discrete-time signal

X(z) is a complex plane representation

z is a complex variable

Because the z-transform is an infinite power series, a region of convergence (ROC) is the set of

10   all values of z where X(z) has a finite value, in other words, this is where the series has a computable value. Conversely, nonconvergence would mean randomness of the signal.

Where z=0 or z=∞, the series is unbounded and

15   thus the z-plane cannot be defined. What is required is a closed form expression that can only be described with a region of convergence (ROC) being specified. A coordinate in the imaginary z-plane can be interpreted to convey both amplitude and phase information. Phase

20   is closely related to frequency information. Again, phase can be understood to oscillate at regular periods over infinite discrete time intervals, and is used to express information on the periodic nature of signals. Thus, as an alternative representation of a

signal, the z-transform helps describe how a signal changes over time.

Some parameters of the region of convergence (ROC) necessitate the establishment of the duration

5 (finite versus infinite) and whether the ROC is causal, anticasual, or two-sided. Special cases of signals include one that has an infinite duration on the right side, but not the left side; an infinite duration on the left side, but not the right side;

10 and, one that has a finite duration on both the right and left sides-- known, respectively, as right-sided, left-sided, and finite-duration two-sided. Additionally, in order to correctly obtain the time domain information of a signal from its z-transform,

15 further analysis is done. When a signal's z-transform is known the signal's sequence must be established to describe the time domain of the signal-- a procedure known as inverse z-transform, Cauchy integral theorem is an inversion formula typically used. Properties of

20 the z-transform will now be described so that those skilled in the art are able to understand the range of computations in which z-transforms may be used for watermark related calculations.

Property	Time Domain	z-Domain	ROC
Notation	$x(n)$	$X(z)$	ROC: $r_2 < [z] < r_1$
	$x_1(n)$	$X_1(z)$	ROC_1
	$x_2(n)$	$X_2(z)$	ROC_2
Linearity	$a_1 x_1(n) + a_2 x_2(n)$	$a_1 X_1(z) + a_2 X_2(z)$	At least the intersection of ROC_1 and ROC_2
Time shifting	$x(n-k)$	$z^{-k} X(z)$	That of $X(z)$, except $z=0$ if $k>0$ nd $z=\infty$ if $k>0$
Scaling in the z-domain	$a^n x(n)$	$X(a^{-1} z)$	$[a] r_2 < [z] < [a] r_1$
Time reversal	$x(-n)$	$X(z^{-1})$	$1/r_1 < [z] < 1/r_2$
Conjugation	$x^*(n)$	$X^*(z^*)$	ROC
Real Part	$Re\{x(n)\}$	$1/2\{X(z) + X^*(z^*)\}$	Includes ROC
Imaginary Part	$Im\{x(n)\}$	$1/2\{X(z) - X^*(z^*)\}$	Includes ROC
Differential in the z-domain	$nx(n)$	$-z\{-z((dX(z)/(dz))\}$	$r_2 < [z] < r_1$
Convolution	$(x_1(n))*(x2(n))$	$X_1(z) X_2(z)$	At least the intersection of ROC_1 and ROC_2
Correlation	$r_{x_1 x_2}(l) = x_1(l) * x_2(-l)$	$R_{x_1 x_2}(z) = X_1(z) X_2(z^{-1})$	At least the intersection of ROC of $X_1(z)$ and $X_2(z^{-1})$
Initial value theorem	If $x(n)$ causal	$x(0) = \lim_{z \to \infty} X(z)$	
Multiplication	$x_1(n) x_2(n)$	$1/2\pi j \{\int X_1(v) X_2((z/v) v^{-1} dv\}$ $z \to \infty$	At least $r_{1l} r_{2l} < [z] < r_{1u} r_{2u}$
Parseval's relation	$\sum^{\infty} x_1(n) x^*_2(n) = 1/2\pi j \{\int X_1(v) X^*_2((1/v^*) v^{-1} dv\}$		

Note: "[]" denote absolute values; For

"Multiplication" and "Parseval's relation" the "∫" is

for "0_c" a circle in the ROC. From Digital Signal

Processing (Principles, Algorithms, and Applications)

5   - 3rd Ed. Proakis & Manolakis

The inversion of the z-transform with three

methods further described, in Digital Signal

Processing (Principles, Algorithms, and Applications)

- 3rd Ed. Proakis & Manolakis, as 1) Direct evaluation

10   by contour integration 2) Expansion into a series of

terms, in the variables z, and z^{-1} and 3) Partial-

fraction expansion and table lookup. Typically the

Cauchy theorem is used for direct evaluation. In

determining causality, LTI systems are well-suited in

15   establishing the predictability of time-domain

characteristics with pole-zero locations. For

applications of digital watermarks as described in the

present invention the importance of both alternatively

describing a signal and establishing deterministic

20   characteristics of the signal's components is clear to

those skilled in the art. Placing watermarks in the

"random" parts of a signal, those that are difficult

to predict and thereby compress, would enhance the

security from attacks by pirates seeking to identify

25   the location of said watermarks or erase them without

knowing their specific location. Use of z-transforms

to establish a more secure "envelope" for watermark

insertion works to the advantage of those seeking to

prevent such attacks. Similarly, creation of linear predictive coding filters is an excellent example that benefits from preanalysis of content signals prior to the insertion of watermarks.

5   This is an extension of the application of optimal filter design for applications for frame-based watermark systems as described in the above-mentioned patent applications entitled "STEGANOGRAPHIC METHOD AND DEVICE", "METHOD FOR HUMAN-ASSISTED RANDOM KEY

10 GENERATION AND APPLICATION FOR DIGITAL WATERMARK SYSTEM", and "METHOD FOR STEGA-CIPHER PROTECTION OF COMPUTER CODE", "OPTIMAL METHODS FOR THE INSERTION, PROTECTION AND DETECTION OF DIGITAL WATERMARKS IN DIGITIZED DATA". Recursive digital filters are

15 efficient for applications dependent on previous inputs and outputs and current inputs at a given time- - a dynamic filter. The z-transform makes possible high performance of time domain digital filtering with implementation of recursive filters where signal

20 characteristics are efficiently identified.

In one embodiment of the present invention, z-transform calculations are performed as an intermediate processing step, prior to the actual encoding of a digital watermark into a sample stream.

25 The Argent™ digital watermark software, developed by The DICE Company, for example, uses a modular architecture which allows access to the sample stream and related watermark data at various stages of

computation, and further allows modules to pass their results on (or back) to other modules. Z-transform calculations can be integrated into this processing architecture either directly in the CODEC module,

5   which is responsible for encoding information to a series of samples, or decoding it from them, or as a FILTER module, which provides other modules with information on how specific types of filters will affect the sample stream. During processing, a series

10   of sample frames are separated into groupings called "windows". Typically the groupings are comprised of contiguous series of samples, but this need not be the case. Any logical arrangement might be handled. Each sample window comprises a finite duration two-sided

15   signal, a special case for z-transform calculations discussed above.

      Each window may then be fed to a z-transform calculator (in a FILTER or CODEC module) which derives phase composition information from the signal using a

20   z-transform algorithm. This information summarizes estimates of any regular phase components of the signal. Note that windows may be dynamically adjusted to be longer or shorter duration, or they may be computed in an overlapping fashion, with information

25   about adjacent windows and their z-transforms being considered with regard to the current transform. Windows might have weightings applied to sample frames in order to emphasize some portions or de-emphasize

others. Using these additional modifications may help
to smooth discontinuities between window calculations
and provide a better average estimate over longer
portions of a signal.

5   The resulting z-transform information could be
visualized by placing points of varying brightness or
color (which corresponds to an amplitude) on the unit
circle in the complex z-plane (the circle centered at
z = 0.0, 0.0 with radius 1). These points symbolize
10   recurrent signal components at particular phases
(where phase is determined by the angle of the line
drawn between the point on the perimeter of the circle
and its center). A deterministic approximation of the
signal could then be reconstructed with all possible
15   times represented by multiplying phase by the number
of revolutions about the circle. Positive angle
increments move forward in time, while negative
increments move backward. The phase components
yielded by the z-transform are then used to summarize
20   and reproduce an estimate of the deterministic portion
of the signal. Typically one may invert the z-
transform calculations to produce this estimate in
terms of a series of wave amplitude samples. By
calculating the error rate and location of such errors
25   in the estimated signal versus the original, the
system can determine exactly where a signal is "most
non-deterministic," which would constitute promising
locations within the sample stream to encode watermark

information. Note that location could be construed to mean any combination of sample, frequency or phase information.

The process described above is, in principle, an inversion of the type of process used for Linear Predictive Coding (LPC) and is a general example of "filter inversion" for optimal watermark planning. The type calculations are performed in order to determine what parts of the signal will survive the LPC process intact, and are thus good places to place watermarks which are to survive LPC. In LPC, the deterministic portion of a signal is compressed and the non-deterministic portion is either preserved as a whole with lossless compression or stochastically summarized and recreated randomly each time the "signal" is played back.

<u>What is Claimed</u>:

1 1. A method of using z-transform calculations to

2 encode carrier signal independent data to a digital

3 sample stream

1 2. A method according to claim 1, wherein said

2 carrier signal independent data comprises digital

3 watermark data.

1 3. A method according to claim 1, wherein location

2 and parameters vary based on a position of the

3 watermarking party in a distribution chain and what

4 type and how much information that party needs to

5 encode and decode in a watermark.

1 4. A method of using z-transform calculations to

2 decode carrier signal independent data from a digital

3 sample stream.

1 5. A method according to claim 4, wherein said

2 carrier signal independent data comprises digital

3 watermark data.

1 6. A method according to claim 4, wherein location

2 and parameters vary based on a position of the

3 watermarking party in a distribution chain and what

4 type and how much information that party needs to

5 encode and decode in a watermark.

1 7. A method of analyzing deterministic and non-

2 deterministic component of a signal, wherein said

3 signal is comprised of a digital sample stream, for

4 the purposes of encoding carrier signal independent

5 data to the digital sample stream, and encoding said

6 carrier signal independent data in a manner such that

7 it is restricted to or concentrated primarily in the

8 non-deterministic signal components of the carrier

9 signal,

10 wherein said signal components consist of at

11 least one of:

12 a discrete series of digital samples; and

13 a discrete series of carrier frequency sub-

14 bands of the carrier signal.

1 8. A method according to claim 7, wherein said

2 carrier signal independent data comprises digital

3 watermark data.

1 9. A method according to claim 7, wherein location

2 and parameters vary based on a position of the

3 watermarking party in a distribution chain and what

4 type and how much information that party needs to

5 encode and decode in a watermark.

1   10.   A method of using z-transform calculations to

2   measure a desirability of particular locations in a

3   sample stream in which to encode carrier signal

4   independent data, wherein the desirability is

5   comprised of a difficulty in predicting a component of

6   the sample stream at a location which can be measured

7   by an error function, wherein the component and

8   location may be comprised of information regarding at

9   least one of the following: wave, amplitude,

10 frequency, band energy, or phase energy.

1   11.   A method according to claim 10, wherein said

2   carrier signal independent data comprises digital

3   watermark data.

1   12.   A method according to claim 10, further

2   comprising a step of performing the z-transform of the

3   input sample series.

1   13.   A method according to claim 12, further

2   comprising a step of performing an inverse z-transform

3   to produce an estimated sample series including an

4   estimate of the signal represented by the digital

5   sample series.

1   14.   A method according to claim 13, further

2   comprising a step of computing an error function of

3 the estimated sample series versus the original input

4 sample series.

1 15. A method according to claim 14, further

2 comprising a step of using a computed error function

3 data as a measure of the desirability of a location

4 for encoding watermark information.

1 16. A method according to claim 14, further

2 comprising a step of determining a maximized error

3 function to determine an optimal watermark location

4 for encoding.

1 17. A method of encoding digital watermarks at

2 varying locations in a sample stream with varying

3 envelope parameters.

1 18. A method of using z-transform calculations to

2 determine portions of a signal which may be

3 successfully compressed or eliminated using certain

4 processing techniques.

1 19. A method of using z-transform calculations to

2 determine portions of a signal which may be

3 successfully compressed or eliminated using certain

4 processing techniques, without adverse impact on

5 signal quality.

1 20. A method of encoding a digital watermark into a

2 digital sample stream such that the watermark

3 information is carried entirely in most non-

4 deterministic portions of the signal.

ABSTRACT OF THE DISCLOSURE

Z-transform calculations may be used to encode (and/or decode) carrier signal independent data (e.g., digital watermarks) to a digital sample stream. Deterministic and non-deterministic components of a

5   digital sample stream signal may be analyzed for the purposes of encoding carrier signal independent data to the digital sample stream. The carrier signal independent data may be encoded in a manner such that it is restricted or concentrated primarily in the non-

10   deterministic signal components of the carrier signal. The signal components can include a discrete series of digital samples and/or a discreet series of carrier frequency sub-bands of the carrier signal. Z-transform calculations may be used to measure a

15   desirability of particular locations and a sample stream in which to encode the carrier signal independent data.

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As the below named inventor, I hereby declare that:

My residence, post office address and citizenship is as stated below next to my name;

I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Z-TRANSFORM IMPLEMENTATION OF DIGITAL WATERMARKS

the specification of which: ☐ is attached hereto.
☐ was filed on: _____
as Application No.: _____
and was amended on _____ (if applicable).

I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56.

Prior Foreign Application(s)

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application(s) for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Country	Application Number	Date of Filing (day, month, year)	Date of Issue (day, month, year)	Priority Claimed	
				Yes ☐	No ☐
				Yes ☐	No ☐
				Yes ☐	No ☐

Prior Provisional Application(s)

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

Application Number	Date of Filing (day, month, year)

Prior United States Application(s)

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as

defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Number	Date of Filing (day, month, year)	Status - Patented, Pending, Abandoned
08/772,222	20 December 1996	Pending

And I hereby appoint, both jointly and severally, as my attorneys with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith the following attorneys, their registration numbers being listed after their names:

Rodger L. Tate, Registration No. 27,399; Scott F. Partridge, Registration No. 28,142; Jerry W. Mills, Registration No. 23,005; Robert Neuner, Registration No. 24,316; James Remenick, Registration No. 36,902; James B. Arpin, Registration No. 33,470; Laurence H. Posorske, Registration No. 34,698; Floyd B. Chapman, Registration No. 40,555; Robert A. King, Registration No. 42,738; William F. Nixon, Registration No. 44,262; Andrew D. Skale, Registration No. 44,338; Robert L. Troike, Registration No. 24,183; Jay M. Cantor, Registration No. 19,906; Lori D. Stiffler, Registration No. 36,939; and Jay B. Johnson, Registration No. 38,193.

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine and imprisonment, or both, under 18 U.S.C. § 1001, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

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